



# TIPTON COUNTY TITLE VI IMPLEMENTATION PLAN

Adopted by the Tipton County  
Board of Commissioners on  
September 5, 2017

# TABLE OF CONTENTS

INTRODUCTION.....	
TITLE VI NON-DISCRIMINATION & POLICY.....	
TIPTON VI ASSURANCES.....	
TIPTON COUNTY’S ORGANIZATION CHART.....	
OVERVIEW OF TIPTON COUNTY’S TITLE VI PROGRAM.....	
1. DATA COLLECTION, ANALYSIS, REPORTING.....	
2. COMPLAINTS OF DISCRIMINATION.....	
a) How to file a complaint.....	
b) Elements of a complete complaint.....	
c) Processing complaints.....	
3. ENVIRONMENTAL JUSTICE.....	
4. LIMITED ENGLISH PROFICIENCY (LEP).....	
5. NONDISCRIMINATION & ACCESSIBILITY TRAINING.....	
6. PUBLIC INVOLVEMENT.....	
7. REVIEW OF PROGRAM AREA.....	
8. GOALS / ACCOMPLISHMENTS.....	
<b>APPENDIX: FORMS.....</b>	
a) Assurances.....	
b) Complaint Policy.....	
c) Complaint Log.....	
d) External Complaint Form.....	
e) Voluntary Involvement Survey.....	
f) I-Speak Cards.....	



## **INTRODUCTION:**

This Title VI Implementation Plan is a part of the Tipton County's continual and ongoing effort to proactively meet and exceed the minimum compliance requirements established under Title VI of the Civil Rights Act of the 1964 (Title VI) 49CFR § 26, and the related anti-discrimination statutes and regulations. With this Implementation Plan, Tipton County seeks to provide continued transparency, clarity and technical guidance for internal and external constituents regarding its Title VI program.

## **TIPTON COUNTY TITLE VI NON-DISCRIMINATION NOTICE & POLICY**

Tipton County values each individual's civil rights and wishes to provide equal opportunity and equitable service for the citizens of this county. As a recipient of federal funds, Tipton County conforms to Title VI and all related statutes, regulations, and directives, which provide that no person shall be excluded from participation in, denied benefits of or subjected to discrimination under any program or activity receiving federal financial assistance from Tipton County on the grounds of race, color, age, sex, sexual orientation, gender identity, disability, nation origin, religion, income status or limited English proficiency. Tipton County further assures every effort will be made to ensure nondiscrimination in all of its programs and activities, regardless of whether those programs and activities are federally funded.

It is the policy of Tipton County to comply with Title VI of the Civil Rights Act of 1964; Title VII of the Civil Rights Act of 1964, 42 U.S.C. § 2000e; Age discrimination Act of 1975, 42 U.S.C. §§ 6101-6107; Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, 42 U.S.C. §§ 4601-4655; 1973 Federal Aid Highway Act, 23 U.S.C. § 324; Title IX of the Education Amendments of 1972, Pub. L. No. 92-318, 86 Stat. 235; Section 504 of the Rehabilitation Act of 1973., 29 U.S.C. §§ 701 *et seq.*; Civil Rights Restoration Act of 1987, Pub. L. No. 100-259, 102 Stat. 28; Americans with Disabilities Act of 1990, 42 U.S.C. §§ 12101 *et seq.*; Title VIII of the Civil Rights Act 1968, 42 U.S.C. §§ 3601-3631; Exec. Order No. 12898, 59 Fed. Reg. 7629 (1994) (Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations); and Exec. Order No. 13166, 65 Fed. Reg. 50121 (2000) (Improving Access to Services for Persons with Limited English Proficiency).

The Civil Rights Restoration Act of 1987, Pub. L. No. 100-259, 102 Stat. 28, broadened the scope of Title VI coverage by expanding the definition of terms "programs or activities" to include all programs or activities of federal-aid recipients, sub recipients and contractors/consultants, regardless of whether such programs and activities are federally assisted.

Pursuant to the requirements of Section 504 of the Rehabilitation Act of 1973, Pub. L. No. 93-112, 87 Stat. 355, Tipton County hereby gives assurance that no qualified disabled person shall, solely by reason of disability, be excluded from participation in, be denied the benefits of or otherwise be subjected to discrimination, including discrimination in employment, under any program or activity that receives or benefits from this federal financial assistance.

Tipton County also assures that every effort will be made to prevent discrimination through the impacts of its programs, policies and activities on minority and low-income populations. In addition, Tipton County will take reasonable steps to provide meaningful access to services for persons with limited English proficiency (LEP). Tipton County will, where necessary and appropriate, revise, update and incorporate nondiscrimination requirements into appropriate manuals, directives and regulations.

Whenever Tipton County distributes federal-aid funds to a second-tier sub recipient, Tipton County will include Title VI language in all written agreements.

The following individual has been identified as Tipton County's Title VI and ADA Coordinator and is responsible for initiating and monitoring Title VI activities, preparing reports and performing other responsibilities, as required by 23 C.F.R. § 200 and 49 C.F.R. § 21.

**Sherri Crawford**  
**ADA / Title VI Coordinator**  
**405 Market Road**  
**Tipton, IN 46072**  
**(765) 675-4508**  
[s.crawford@tiptoncounty.in.gov](mailto:s.crawford@tiptoncounty.in.gov)

Tipton County affirms its commitment to nondiscrimination annually by publishing its Annual Title VI Implementation Plan and reaffirming its Assurances of Nondiscrimination, incorporated herein (see next page).

## TITLE VI ASSURANCES

Fully executed Assurances are included in Appendix A and integrated into this document. This Title VI Implementation Plan has been adopted, implemented and is being adhered to by Tipton County. Tipton County has implemented this plan by majority vote the regular scheduled Commissioner's meeting on 9-5-17 And it is effective for plan year 2017-2018. This plan will be renewed by mid-2018.

Signed by: James H. Allen, Ph.D. Date: 9/5/2017  
Name/Title: President, Tipton County Board of Commissioners

The individual above is a duly authorized representative of Tipton County.

## **TIPTON COUNTY OVERVIEW OF TITLE VI PROGRAM: Data Collection, Analysis & Reporting**

The type of data collected is dependent on the program area's objective. Tipton County collects various types of data to ensure compliance with Title VI. Some information is collected for a period of time with the objective of determining what data needs to be collected.

- Complaints received, logged, processed and investigated by Tipton County
- Environmental Justice analysis and reports
- Limited English Proficiency reports
- Title VI Training
- Public Involvement Survey
- Records of meeting minutes and discussion relate to Title VI

## **COMPLAINTS OF DISCRIMINATION**

### **How to file a complaint:**

While a Complainant may preliminarily submit his or her complaint by mail, facsimile, or email to the Title VI Coordinator, a signed, original copy of the complaint must be mailed to the Title VI Coordinator to officially begin the complaint process. Any person with a disability may request to file his or her complaint using an alternative format. Tipton County does not require a Complainant to use the Tipton County complaint form when submitting his or her complaint, but must have all information requested on the form included in his or her complaint.

**Direct all complaints of discrimination pursuant to Title VI to:**

Sherri Crawford  
ADA / Title VI Coordinator  
405 Market Road  
Tipton, IN 46072  
[s.crawford@tiptoncounty.in.gov](mailto:s.crawford@tiptoncounty.in.gov)  
765-675-4508 office  
765-675-8664 fax

**ELEMENTS OF A COMPLETE COMPLAINT**

A complaint must be both written and signed to be complete. Verbal complaints must be reduced to writing and provided to the Complainant for confirmation, review and signature before processing. The Complaint form is available for download from the Tipton County website at: [www.tiptongov.com](http://www.tiptongov.com)

Additionally, a complaint must include the following information:

- The full name and address of the Complainant;
- The full name and address of the Respondent, the individual, agency, department or program that allegedly discriminated against Complainant; and
- A description of the alleged discriminatory act(s) that violated Title VI (i.e., an act of intentional discrimination or one that has the effect of discrimination on the basis of race, color, national origin, sex, age or disability) and the date of the occurrence.

**PROCESSING COMPLAINTS**

The Title VI Coordinator will process all complaints. The Title VI Coordinator is responsible for:

- The Title VI Coordinator will review the complaint upon receipt to ensure that all required information is provided, the complaint meets the filing



deadline date which is 180 days from the date the alleged discriminatory act occurred, and falls within the jurisdiction of the County.

- The Title VI Coordinator will then investigate the complaint. If the complaint is against the County, then the Tipton County Board of Commissioner's or their designee will investigate the complaint. Additionally, a copy of the complaint will be forwarded to the County Attorney.
- If the complaint warrants a full investigation, the Complainant will be notified in writing by certified mail. This notice will name the investigator and/or investigating agency.
- The party alleged to have acted in a discriminatory manner will also be notified by certified mail as of the complaint. The letter will also include the investigator's name and will request that this party be available for an interview.
- Any comments or recommendations from legal counsel will be reviewed by the Title VI Coordinator and the Board of Commissioner's.
- Once the County has investigated the report findings, the County will adopt a final resolution.
- If the complainant is not satisfied with the results of the investigation of the alleged discriminatory practice(s), he/she shall be advised of their right to appeal the County's decision. Appeals must be filed within 180 days after the County's resolution. Unless new facts not previously considered come to light, reconsideration of the County's determination will not be available.
- The foregoing complaint resolution procedure will be implemented in accordance with the Department of Justice guidance manual entitled "Investigation Procedures Manual for the Investigation and Resolution of Complaints Alleging Violations of Title VI and Other Nondiscrimination Statutes," available online at:  
<http://www.justice.gov/crt/about/cor/Pubs/manuals/complain.pdf>

## **ENVIRONMENTAL JUSTICE ANALYSIS & REPORTS**

In accordance with Title VI of the Civil Rights Act of 1964, each Federal agency shall ensure that all programs or activities receiving Federal financial assistance that affect human health or the environment do not directly, or through other arrangements, use criteria, methods, or practices that discriminate on the basis of race, color, or national origin. Part of Title reads,



“No person in the United States shall, on the ground of race, color, or national origin be excluded from participation in, be denied the benefits of, or be subject to discrimination under any program or activity receiving Federal financial assistance.”

The three fundamental environment justice (EJ) principles are:

- To avoid, minimize, or mitigate disproportionately high and adverse human health and environmental effects, including social and economic effects, on minority populations and low-income populations;
- To ensure the full and fair participation by all potentially affected communities in the transportation decision-making process; and
- To prevent the denial of, reduction in, or significant delay in the receipt of benefits by minority populations and low-income populations.

Tipton County is committed to these three environmental justice principles in all work that the County performs.

## **LIMITED ENGLISH PROFICIENCY (LEP) POLICY**

On August 11, 2000, the President signed an executive order, Executive Order 13166: Improving Access to Service for Persons with Limited English Proficiency (LEP), to clarify Title VI of the Civil Rights Act of 1964. It has as its purpose, to ensure meaningful access to programs and services to otherwise eligible persons who are not proficient in the English language. In addition, The US Department of Transportation published Policy Guidance Concerning Recipients' responsibilities to Limited English Proficient Person in the December 14, 2005 Federal Register. This guidance outlines the following four factors that the County uses to access the LEP populations in Tipton County.

1. The number and proportion of LEP persons eligible to be served or likely to be encountered by the County.
2. The frequency with which LEP individuals come into contact with the program, activity or service.
3. The nature and importance of the program, activity, or service provided by the program.

4. The resources available to the County and costs.

In addition, Tipton County has not implemented the safe harbor provision whereby it identifies and translate all vital documents into any language where the 5% threshold is met whereby 5% or more of the population in the county both:

- Does not speak English very well AND
- Primarily speaks another specific language as identified in current census data or other publicly available records.

#### **SUMMARY OF THE FOUR FACTOR ANALYSIS**

The number and proportion of LEP persons eligible to be served or likely to be encountered by the County can only be estimated until the actual number of persons who can speak English less than “very well” are documented as needing assistance by County Staff. With this Title VI Plan being in early development stages and considered a document that may need regular updates, US Census Bureau information is being used at this time. The total population is provided below to show general distribution of race and ethnicity in the community. The estimated number of persons that may not speak English “very well” is following in the US Census Bureau 2006-2010 American Community Survey.

**Factor 1: The U.S. Census Bureau provides statistics from 2010 for Tipton County as follows:**

Total population = 15,936

Population percentage by Race:

White = 97.6%

Black = 0.2%

American Indian and Alaska Native = 0.1%

Asian = 0.4%

Native Hawaiian and Other Pacific Islander = Value greater than zero but less than half unit of measure shown

Two or more races = 1.0%

Hispanic or Latino = 2.2%

There is no data with the U.S. Census Bureau American Community Survey 5-Year Estimates under SELECTED SOCIAL CHARACTERISTICS estimates the number of people in Tipton County who speak a language other than English to be 405 with those speaking English less than “very well” estimated at 1.0% or approximately 156 individuals who may be considered limited in English proficiency. The margin of error is +/- .05%.

According to the census numbers above there may be up to 156 individuals who live in Tipton County that may be considered LEP. Based on actual contact between County Staff and the community there have been very few requests from anyone in the service area asking the County to provide language translation services. Therefore, the LEP population is probably even less than the estimate shown above.

**Factor 2: The frequency with which LEP individuals come into contact with the program, activity or service:**

Due to the infrequent requests for translation services, there appears to be a minimal need for translation services from the County.

**Factor 3: The nature and importance of the program, activity, or service provided by the program:**

If at any time a LEP individual requests translation services that are considered important such that denial or delay of access or services or information could have serious or even life threatening implications, the County will provide, upon request, services to assist the LEP population including translation of vital County documents and interpretation services.

**Factor 4: SUMMARY OF LEP ACCOMMODATION PLAN**

- The County of Tipton strives to serve its population to the best of its ability and will provide upon request, services to assist the LEP population including translation of vital documents and interpretation services deemed necessary to provide meaningful access to County services.
- A U.S. Census Bureau I Speak card is available as part of this document. This card allows LEP individuals to communicate their preferred language to the County Staff whereas the County staff may then access a translation service as determined by the County.
- The County of Tipton reviews written Title VI complaints and ensures every effort is made to resolve complaints informally at the local level and review and update the County's Title VI plan and procedures as required.
- Staff for the County will be provided training on the requirements for providing meaningful access to services for LEP persons and new employees will receive the same training.

**TITLE VI TRAINING**

**EMPLOYER/EMPLOYEE DISSEMINATION & TRAINING**

At the time of hire (and annually to all employees if applicable): Title VI policy and literature will be provided to all Tipton County employees. The County of Tipton employees will be required to sign an acknowledgement of receipt indicating they have received and reviewed Title VI policy guidelines. New employees will be provided with education and literature at new employee

orientation. Employees will be provided with updated education and literature as Tipton County deems necessary.

Ongoing training provided to current employees: Current employees will receive training annually. Training will consist of any updated material that relates to Title VI and any updated policies to Tipton County's Title VI Program and will be provided by the Tipton County ADA / Title VI Coordinator either in person and/or by electronic means.

Employees will be expected to follow the Title VI policy and the guidelines set forth. In addition, Tipton County employees should make every effort to alleviate any barriers to service or public use that would restrict public access or usage, take prompt and reasonable action to avoid or minimize discrimination incidences and immediately notify the ADA / Title VI Coordinator, in writing, of any questions, complaints or allegations of discrimination.

## **PUBLIC INVOLVMENT**

### **DATA COLLECTION**

Pursuant to 23 CFR 200.9(b) (4), Tipton County shall collect and analyze statistical information regarding demographics to assist in monitoring and ensuring nondiscrimination in all of its programs and activities.

Tipton County shall utilize a voluntary Title VI public involvement survey that will be available at all public hearings and meetings. The survey will allow respondents to remain anonymous. The survey will ask questions regarding the respondent's gender, ethnicity, race, age, income and if they are disabled. The facilitator of the public hearings and meetings will make an announcement at the beginning of the meeting informing attendees of the survey and its purpose and a request will be made for the attendees to complete the voluntary survey. Completed surveys will be retained by the ADA / Title VI Coordinator for three (3) years.

The ADA / Title VI Coordinator will also collect and report statistical data for the past three (3) years as it relates to the number of federally funded

projects, complaints filed and the results of those complaints, any requests for language services, demographic statistics and department compliance reviews.

## **COMMUNITY INVOLVMENT & OUTREACH**

Tipton County is committed to ensuring that community involvement and outreach is done in a respectful and appropriate manner that will allow for diverse involvement. Public meetings, programs and activities will provide equitable opportunities for participation.

The County of Tipton host meetings bi-weekly and monthly and those meetings are open to the public. Any meetings that are open to the public are published on the Tipton County's website. All of Tipton County public meetings are held in locations accessible to individuals with disabilities. Upon request, translators can be provided free of charge to those individuals with Limited English Proficiency. Auxiliary aids are also available upon request.

**Requests must be made in a minimum of forty-eight (48) hours in advance.**

Also, published on the Tipton County website are various meeting agenda's, meeting minutes, notices, events and news. Some departments within the County of Tipton utilize signage, media and social media websites as another avenue to communicate with the community.



## **REVIEW OF PROGRAM AREA**

This section outlines annual goals set forth by the County of Tipton to comply with Title VI requirements and statutes. This list will be monitored for updates and additions.

## **ANNUAL WORK PLAN**

Tipton County's plan is to create and implement a Title VI Plan that works for all its citizens. This plan will be implemented tentatively mid-2017.

# APPENDIX

- A. Assurances
- B. Complaint Policy
- C. Complaint Log
- D. External Complaint Procedure/Form
- E. Public Involvement Survey
- F. I Speak Cards
- G. Training Materials & Records of Training Attendance\*
- H. Reports and Outcomes of Data Collected\* \*\*
- I. Materials Related to Annual Goals & Accomplishments\* \*\*

\* To be added on a future date.

\*\* if applicable / as applicable.

## **APPENDIX A: ASSURANCES**

## **Title VI Assurances**

The County of Tipton (hereinafter referred to as the "Recipient") HEREBY AGREES THAT as a condition to receiving any Federal financial assistance for the Department of Transportation and the Federal Highway Administration, it will comply with Title VI of the Civil Rights Act of 1964, 78 Stat. 78. 252, 42 U.S.C. 2000d-42 U.S.C. 2000d-4 (hereinafter referred to as the Act), and all requirements imposed by or pursuant to Title 49, Code of Federal Regulations (CFR), Department of Transportation, Subtitle A, Office of the Secretary, Part 21, Nondiscrimination in Federally-Assisted Programs of the Department of Transportation-Effectuation of Title VI of the Civil Rights Act of 1964, Title 23 Code of Federal Regulations, Part 200, Title VI Program and Related Statutes.

- Implementation and Review Procedures (hereinafter referred to as the Regulations) and other pertinent nondiscrimination authorities and directives, to the end that in accordance with the Act, Regulations, and other pertinent nondiscrimination authorities and directives, not person in the United States shall, on the grounds of religion, race, color, or national origin, sex (23 USC 324), sexual orientation, gender identity (Executive Order 13672) age (42 USC 6101), disability/handicap (29 USC 790) and low income (Executive Order 12898) be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the Recipient receives Federal financial assistance from the Department of Transportation, including the Federal Highway Administration, and HEREBY GIVES ASSURANCE THAT it will promptly take any measures necessary to effectuate this agreement. This assurance is required by Title 49 Code of Federal Regulations, subsection 21.7(a)(1) of the Regulations, copy of which are attached.

More specifically and without limiting the above general assurance, the Recipient hereby gives the following specific assurances with respect to its Federal Aid Highway Program.

1. That the Recipient agrees that each "program" and each "facility as defined in 49 CFR subsections 21.23(e) and (b) and 23 CFR 200.5(k) and (g) of the Regulations, will be (with regard to a "program") conducted, or will be (with regard to a "facility") operated in compliance with all requirements imposed by, or pursuant to, the Regulations.
2. That the Recipient shall insert the following notification in all solicitations for bids for work or material subject to the Regulations and made in connection with all Federal Aid Highway Programs and, in adapted form in all proposals for negotiated agreements.

The County of Tipton, in accordance with Title VI of the Civil Rights Act of 1964, 78 Stat. 252, 42 U.S.C. 2000d to 2000d-4 and Title 49, Code of Federal Regulations, Department of Transportation, Subtitle A, Office of the Secretary, Part 21, Nondiscrimination in Federally assisted programs of the Department of Transportation and Title 23 Code of Federal Regulations, Part 200, Title VI Program and Related Statutes, issued pursuant to such Acts, hereby notifies all bidders that it will affirmatively insure that in any contract entered into

pursuant to this advertisement, disadvantaged business enterprises will be afforded full opportunity to submit bids in response to this invitation and will not be discriminated against on the grounds of religion, race, color, national origin, sex, sexual orientation, gender identity, age, disability/handicap and low income in consideration for an award.

3. That the Recipient shall insert the clauses of Appendix A of this assurance in every contract subject to the Acts and the Regulations.
4. That the Recipient shall insert the clauses of Appendix B of this assurance, "as a covenant running with the land, in any deed from the United States effecting a transfer of real property, structures, or improvements thereon, or interest therein."
5. That where the Recipient receives Federal financial assistance to construct a facility, or part of a facility, the assurance shall extend to the entire facility and facilities operated in connection therewith.
6. That where the Recipient receives Federal financial assistance in the form, or for the acquisition of real property or an interest in real property, the assurance shall extend to rights to space on, over or under such property.
7. That the Recipient shall include the appropriate clauses set forth in Appendix C of this assurance, as a covenant running with the land, in any future deeds, leases, permits, licenses, and similar agreements entered into by the Recipient with other parties: (a) for the subsequent transfer of real property acquired or improved under the Federal-Aid Highway Program; and (b) for the construction or use of or access to space on, over or under real property acquired, or improved under the Federal-Aid Highway Program.
8. That this assurance obligates the Recipient for the period during which Federal financial assistance is extended to the program, except where the Federal financial assistance is to provide, or is in the form of, personal property, or real property or interest therein or structures or improvements thereon, in which case the assurance obligates the Recipient or any transferee for the longer of the following periods: (a) the period during which the property is used for a purpose for which the Federal financial assistance is extended, or for another purpose involving the provision of similar services or benefits; or (b) the period during which the Recipient retains ownership or possession of the property.
9. The Recipient shall provide for such methods of administration for the program as are found by the Secretary of Transportation or the official to whom he delegates specific authority to give reasonable guarantee that it, other recipients, sub grantees, contractors, subcontractors, transferees, successors in interest, and other participants of Federal financial assistance under such program will comply with all requirements imposed or pursuant to the Act, the Regulations and this assurance.
10. The Recipient agrees that the United States has a right to seek judicial enforcement with regard to any matter arising under the Act, the Regulations, and this assurance.

THIS ASSURANCE is given in consideration of and for the purpose of obtaining any and all Federal grants, loans, contracts, property, discounts or other Federal financial assistance extended after the date hereof to the Recipient Department of Transportation under the Federal-Aid Highway Program and is binding on it, other recipients, sub grantees, contractors, subcontractors, transferees, successors in interest and other participants in the Federal-Aid Highway Program. The person or persons whose signatures appear below are authorized to sign the assurance on behalf of the Recipient.

---

Signature

Date



## APPENDIX A

During the performance of this contract, the contractor, for itself, its assignees and successors in interest (hereinafter referred to as the "contractor") agrees as follows:

1. **Compliance with Regulations;** The contractor shall comply with the Regulation relative to nondiscrimination in Federally-assisted programs of the Department of Transportation (hereinafter, "DOT") Title 49, Code of Federal Regulations, Part 21, and the Federal Highway Administration (hereinafter "FHWA") Title 23, Code of Federal Regulations, Part 200 as they may be amended from time to time, (hereinafter referred to as the Regulations), which are herein incorporated by reference and made a part of this contract.
2. **Nondiscrimination:** The Contractor, with regard to the work performed by it during the contract, shall not discriminate on the grounds of religion, race, color, national origin, sex, sexual orientation, gender identity, age, disability/handicap and low income in the selection and retention of subcontractors, including procurements of materials and leases of equipment. The contractor shall not participate either directly or indirectly in the discrimination prohibited by 49 CFR, section 21.5 of the Regulations, including employment practices when the contract covers a program set forth in Appendix B of the Regulations.
3. **Solicitations for Subcontractors, Including Procurements of Materials and Equipment:** In all solicitations either by competitive bidding or negotiation made by the contractor for work to be performed under a subcontract, including procurements of materials or leases of equipment, each potential subcontractor or supplier shall be notified by the contractor of the contractor's obligations under this contract and the Regulations relative to nondiscrimination on the grounds of religion, race, color, national origin, sex, sexual orientation, gender identity, age, disability/handicap and low income.
4. **Information and Reports:** The Contractor shall provide all information and reports required by the Regulations or directives issued pursuant thereto, and shall permit access to its books, records, accounts, other sources of information, and its facilities as may be determined by the Indiana Department of Transportation (INDOT) or the FHWA to be pertinent to ascertain compliance with such Regulations, orders and instructions. Where information required of a contractor is in the exclusive possession of another who fails or refuses to furnish this information the contractor shall so certify to INDOT or the FHWA as appropriate, and shall set forth what efforts it has made to obtain the information.
5. **Sanctions for Noncompliance:** In the event of the contractor's noncompliance with the nondiscrimination provisions of the contract, INDOT shall impose such contract sanctions as it or the FHWA may determine to be appropriate, including, but not limited to:
  - a) Withholding of payments to the contractor under the contract until the contractor complies, and/or

b) Cancellation, termination or suspension of the contract, in whole or in part.

6. **Incorporation of Provisions:** The contractor shall include the provisions of paragraphs (1) through (6) in every subcontract, including procurements of materials and leases of equipment, unless exempt by the Regulations, or directives issued pursuant thereto.

The contractor shall take such action with respect to any subcontract or procurement as INDOT or the FHWA may direct as a means of enforcing such provisions including sanctions for non-compliance: Provided, however, that in the event a contractor becomes involved in, or is threatened with, litigation with a subcontractor or supplier as a result of such direction, the contractor may request the Indiana Department of Transportation to enter into such litigation to protect the interests of the Indiana Department of Transportation and, in addition, the contractor may request the United States to enter into such litigation to protect the interests of the United States.

## **APPENDIX B**

- A. The following clauses shall be included in any and all deeds effecting or recording the transfer of real property, structures or improvements thereon, or interest therein from the United States.

### **(GRANTING CLAUSE)**

NOW, THEREFORE, the Department of Transportation, as authorized by law, and upon the condition that the Tipton County will accept title to the lands and maintain the project constructed thereon, in accordance with Title 23, United States Code of Federal Regulations, the Regulations for the Administration of Federal-Aid Highway Programs and the policies and procedures prescribed by FHWA, also in accordance with and in compliance with all requirements imposed by or pursuant to Title 49, Code of Federal Regulations, Department of Transportation, Subtitle A, Office of the Secretary, Part 21, Nondiscrimination in Federally-assisted programs of the Department of Transportation and Title 23 Code of Federal Regulations, Part 200, Title VI Program and Related Statutes (hereinafter referred to as the Regulations) pertaining to an effectuating the provisions of Title VI of the Civil Rights Act of 1964 (78 Stat. 252; 42 U.S.C. 2000d to 2000d-4), does hereby remise, release, quitclaim and convey unto the Indiana Department of Transportation all the right, title and interest of the Department of Transportation in and to said lands described in Exhibit "A" attached hereto and made a part hereof.

### **(HABENDUM CLAUSE)**

TO HAVE AND TO HOLD said lands and interests therein unto the Tipton County and its successors forever, subject, however, to the covenants, conditions, restrictions and reservations herein contained as follows, which will remain in effect for the period during which the real property or structures are used for a purpose for which federal financial assistance is extended or for another purpose involving the provision of similar services or benefits and shall be binding on the Tipton County, its successors and assigns.

Tipton County, in consideration of the conveyance of said lands and interests in lands does hereby covenant and agree as a covenant running with the land for itself, its successors and assigns, that (1) no

person shall on the grounds of religion, race, color, national origin, sex, sexual orientation, gender identity, age, disability/handicap and low income be excluded from participation in be denied the benefits of, or be otherwise subjected to discrimination with regard to any facility located wholly or in part on over or under such lands here by conveyed [,] (and)\* (2) that the County of Tipton shall use the lands and interests in lands and interests in lands so conveyed, in compliance with all requirements imposed by or pursuant to Title 49, Code of Federal Regulations, Department of Transportation, Subtitle A, Office of the Secretary, Part 21, Nondiscrimination in Federally-assisted programs of the Department of Transportation-Effectuation of Title VI of the Civil Rights Act of 1964, Title 23 Code of Federal Regulations, Part 200, Title VI Program and Related Statutes – Implementation and Review Procedures, and as said Regulations may be amended [,] and (3) that in the event of breach of any of the above – mentioned nondiscrimination conditions, the department shall have a right to re-enter said lands and facilities on said land, and the above described land and facilities shall thereon revert to and vest in and become the absolute property of the Department of Transportation and its assigns as such interest existed prior to this instruction.\*

### **APPENDIX C**

The following clauses shall be included in all deeds, licenses, leases, permits, or similar instruments entered into by the County of Tipton pursuant to the provisions of Assurance 7(a).

The (grantee, licensee, lessee, permittee, etc., as appropriate) for himself, his heirs, personal representatives, successors in interest, and assigns, as a part of the consideration hereof, does hereby covenant an degree [in the case of deeds and leases add :as a covenant running with the land"] that in the event facilities are constructed, maintained, or otherwise operated on the said property described in this (deed, license, lease, permit, etc.) shall maintain and operate such facilities and services in compliance with all other requirements imposed pursuant to Title 49, Code of Federal regulations, Department of Transportation, Subtitle A, office of the Secretary, Part 21, Nondiscrimination in Federally-assisted programs of the Department of-Transportation-Effectuation of Title VI of the Civil Rights Act of 1964, Title 23 Code of Federal Regulations, Part 200, Title VI Program and Related Statutes – Implementation and Review Procedures, and as said Regulations may be amended.

[Include in license, leases, permits, etc.]\*

That in the event of breach of any of the above nondiscrimination covenants, Tipton County shall have the right to terminate the [license, lease, permit, etc.] and to re-enter and repossess said land and the facilities thereon, and the same as if said [license, lease, permit, etc.] had never been made or issued.

\*Reverter clause and related language to be used only when it is determined that such a clause is necessary in order to effectuate the purposes of Title VI of the Civil Rights Act of 1964.

[Include in deed]\*

That in the event of breach of any of the above nondiscrimination covenants, Tipton County shall have the right to re-enter said lands and facilities thereon, and the above described lands and facilities shall thereupon revert to and vest in and become the absolute property of Tipton County and its assigns.

\*Reverter clause and related language to be used only when it is determined that such a clause is necessary in order to effectuate the purposes of Title VI of the Civil Rights Act of 1964.

## **APPENDIX B: COMPLAINT POLICY**

## **COMPLAINT POLICY**

Any person who believes that he or she as a member of a protected class, has been discriminated against based on race, color, national origin, gender, age, disability, religion, low income status, or Limited English Proficiency in violation of Title VI of the Civil Rights Act of 1964, as amended and its related statutes, regulations and directives, Section 504 of the Vocational Rehabilitation Act of 1973, Americans with Disabilities Act of 1990, as amended, the Civil Rights Restoration Act of 1987, as amended, and any other Federal nondiscrimination statute may submit a complaint. A complaint may also be submitted by a representative on behalf of such a person.

It is the policy of the County of Tipton to conduct a prompt and impartial investigation of all allegations of discrimination and to take prompt effective corrective action when a claim of discrimination is substantiated.

No one may intimidate, threaten, coerce or engage in other discriminatory conduct against anyone because they have taken action or participated in an action to secure rights protected by the civil rights laws. Any individual alleging such harassment or intimidation may submit a complaint by following the procedure printed below.

Any individual who feels that he or she has been discriminated against may submit a written complaint to the County's ADA/Title VI Coordinator. The complaint should be submitted within 180 days of the alleged discrimination. Complaint forms may be found on here at [tiptongov.com](http://tiptongov.com) under the departments heading click on ADA/Title VI Information page under Document Center. You may also acquire the documents directly from the ADA/Title VI Coordinator.

Generally, a complaint should include the name, address and telephone number of the individual complaining (complainant) and a brief description of the alleged discriminatory conduct including the date of harm. An individual submitting a complaint alleging discrimination may include any relevant evidence, including the names of witnesses and supporting documentation.

**Complaints should be directed to:**  
**Sherri Crawford, ADA/Title VI Coordinator**  
**405 Market Road**  
**Tipton, IN 46072**  
**(765) 675-4508**  
[s.crawford@tiptoncounty.in.gov](mailto:s.crawford@tiptoncounty.in.gov)

Within 60 days of the receipt of the complaint the County will conduct an investigation of the allegation based on the information provided and issue a written report of its findings to the complainant. The County will try to obtain an informal voluntary resolution to all complaints at the lowest level possible.

A complainant's identity shall be kept confidential except to the extent necessary to conduct an investigation. All complaints shall be kept confidential.



These procedures do not deny the right of any individual to file a formal complaint with any government agency or affect an individual's right to seek private counsel for any complaint alleging discrimination.

**Complaints may also be filed with the following government agencies:**

Indiana Department of Transportation  
Economic Opportunity Division  
100 N. Senate, Room N750  
Indianapolis, IN 46204  
Phone: (317) 233-6511  
Fax: (317) 233-0891

Indianapolis District EEOC Office  
101 West Ohio Street, Ste 1900  
Indianapolis, IN 46204  
Phone: (800) 669-4000  
Fax: (317) 226-7953  
TTY: 1 (800) 669-6820

Indiana Civil Rights Commission  
100 N. Senate Ave., Room N103  
Indianapolis, IN 46204  
Toll Free: 1 (800) 628-2909  
Phone: (317) 232-2600  
Fax: (317) 232-6560  
Hearing Impaired: 1 (800) 743-3336

## APPENDIX C: COMPLAINT LOG

**Disclaimer:** This sample document is provided by INDOT for informational purposes only. It does not constitute legal advice. For legal advice, please contact legal counsel.

[illegible]

## **APPENDIX D: EXTERNAL COMPLAINT PROCEDURE**

**INSTRUCTIONS:**

The purpose of this form is to help any person interested in filing a discrimination complaint with the County of Tipton. You are not required to use this form. You may write a letter with the same information, sign it and return to the address below. All bold items must be completed for your complaint to be investigated. Failure to provide complete information may impair the investigation of your complaint.

Title VI of the Civil Rights Act of 1964, as amended and its related statutes and regulations (Title VI) prohibit discrimination on the basis of race, color, national origin, sex, age, disability/handicap, or income status in connection with programs or activities receiving federal financial assistance for the United States Department of Transportation, Federal Highway Administration, and/or Federal Transit Administration. These prohibitions extend to the County of Tipton as a sub-recipient of federal financial assistance.

Upon Request, assistance will be provided if you are an individual with a disability or have Limited English Proficiency. Complaints may also be filed using alternative formats such as computer disk, audiotape or Braille.

You also have the right to file a complaint with other state or federal agencies that provide federal financial assistance to the County of Tipton. Additionally, you have the right to seek private counsel.

Please make a copy of your complaint form for your personal records. Do not send your original supporting documents as they will not be returned. Mail the original complaint form along with any copies of documents or records relevant to your complaint to the address below.

Complaints of discrimination must be filed within 180 days of the date of the alleged discriminatory act. If the alleged act of discrimination occurred more than 180 days ago, please explain your delay in filing this complaint.

**\*\*YOUR COMPLAINT CANNOT BE PROCESSED WITHOUT YOUR SIGNATURE.**

**All complaints are to be sent to:**

**Sherri Crawford, ADA/Title VI Coordinator**  
**405 Market Road**  
**Tipton, IN 46072**  
**(765) 675-4508**  
[s.crawford@tiptoncounty.in.gov](mailto:s.crawford@tiptoncounty.in.gov)

### COMPLAINANT INFORMATION

NAME (first, middle, last)

--	--	--

ADDRESS (number and street, city, state and ZIP code)

--

HOME TELEPHONE NUMBER

WORK NUMBER

MOBILE NUMBER

--

--

--

DATE (month, day, year)

--

### PERSON / AGENCY YOU BELIEVE DISCRIMINATED AGAINST YOU

NAME (first and last)

TITLE

--	--

NAME OF DEPARTMENT

--

ADDRESS (number and street, city, state and ZIP code)

--

PHONE NUMBER

--

WHAT DATE WAS THE ALLEGED DISCRIMINATORY ACT?

DATE (month, day, year)

--

**COMPLAINTS OF DISCRIMINATION MUST BE FILED WITHIN 180 DAYS OF  
THE DATE OF THE ALLEGED DISCRIMINATORY ACT.**

THE ALLEGED DISCRIMINATION WAS BASED ON:

--

RACE

--

COLOR

--

GENDER

--

DISABILITY

--

AGE

--

RETALIATION

--

NATIONAL ORIGIN

*Available in an alternative format upon request.*

### DESCRIPTION OF THE ALLEGED ACTS OF DISCRIMINATION

(USE ADDITIONAL PAGES, IF NECESSARY)

[illegible]

*Available in an alternative format upon request.*

## **APPENDIX E: VOLUNTARY PUBLIC INVOLVEMENT SURVEY**



## VOLUNTARY TITLE VI PUBLIC INVOLVMENT SURVEY

As a recipient of federal funds, the Indiana Department of Transportation (INDOT) is requiring local agencies to develop a procedure for gathering statistical data regarding participants and beneficiaries of its agencies to develop a procedure for gathering statistical data regarding participants and beneficiaries of its federal-aid highway programs and activities (23 CRF §200.9(b)(4)). The County of Tipton is distributing this voluntary survey to fulfill that requirement to gather information about the populations affected by proposed projects.

You are not required to complete this survey. Submittal of this information is voluntary. This form is a public document that the County of Tipton will use to monitor its programs and activities for compliance with Title VI and the Civil Rights Act of 1964, as amended and its related statutes and regulations.

If you have any questions regarding the County of Tipton's responsibilities under Title VI of the Civil Rights Act of 1964 to the Americans with Disabilities Act, please contact:

Sherri Crawford  
ADA/Title VI Coordinator  
405 Market Road  
Tipton, IN 46072  
[s.crawford@tiptoncounty.in.gov](mailto:s.crawford@tiptoncounty.in.gov)

You may return the survey by folding it and placing it on the registration table or by mailing or e-mailing it to the address above.

Date:					
Project Name:					
Proposed Project Location:					
Gender	<input type="checkbox"/>	Female	<input type="checkbox"/>	Male	
Race: (check one or more)					
<input type="checkbox"/>	American Indian or Alaska Native			<input type="checkbox"/>	Asian
<input type="checkbox"/>	Native Hawaiian or Other Pacific Islander			<input type="checkbox"/>	White
<input type="checkbox"/>	Black or African-American			<input type="checkbox"/>	Multiracial
Age:		Disability			
<input type="checkbox"/>	1-21	<input type="checkbox"/>	22-40	<input type="checkbox"/>	Yes
<input type="checkbox"/>	41-65	<input type="checkbox"/>	65+	<input type="checkbox"/>	No
Household Income:					
<input type="checkbox"/>	\$0-\$12,000	<input type="checkbox"/>	\$12,001-\$24,000	<input type="checkbox"/>	\$24,001-\$36,000
<input type="checkbox"/>	\$36,001-\$48,000	<input type="checkbox"/>	\$48,001-\$60,000	<input type="checkbox"/>	\$60,001 +

## **APPENDIX F: ISpeak Card**

- |                          |   |                        |
|--------------------------|---|------------------------|
| <input type="checkbox"/> | ضع علامة في هذا المربع إذا كنت تقرأ أو تتحدث العربية.                         | 1. Arabic              |
| <input type="checkbox"/> | Խնդրում ենք նշում կատարեք այս քառակուսում, եթե խոսում կամ կարդում եք հայերեն: | 2. Armenian            |
| <input type="checkbox"/> | যদি আপনি বাংলা পড়েন বা বলেন তা হলে এই বাক্সে দাগ দিন।                        | 3. Bengali             |
| <input type="checkbox"/> | ឈ្មួញបញ្ជាក់ក្នុងប្រអប់នេះ បើអ្នកអាន ឬនិយាយភាសា ខ្មែរ ។                       | 4. Cambodian           |
| <input type="checkbox"/> | Motka i kahhon ya yangin ûntûngnu' manaitai pat ûntûngnu' kumentos Chamorro.  | 5. Chamorro            |
| <input type="checkbox"/> | 如果你能读中文或讲中文，请选择此框。  | 6. Simplified Chinese  |
| <input type="checkbox"/> | 如果你能讀中文或講中文，請選擇此框。  | 7. Traditional Chinese |
| <input type="checkbox"/> | Označite ovaj kvadratić ako čitate ili govorite hrvatski jezik.               | 8. Croatian            |
| <input type="checkbox"/> | Zaškrtněte tuto kolonku, pokud čtete a hovoříte česky.                        | 9. Czech               |
| <input type="checkbox"/> | Kruis dit vakje aan als u Nederlands kunt lezen of spreken.                   | 10. Dutch              |
| <input type="checkbox"/> | Mark this box if you read or speak English.                                   | 11. English            |
| <input type="checkbox"/> | اگر خواندن و نوشتن فارسی بلد هستید، این مربع را علامت بزنید.                  | 12. Farsi              |

<input type="checkbox"/>	Cocher ici si vous lisez ou parlez le français.	13. French
<input type="checkbox"/>	Kreuzen Sie dieses Kästchen an, wenn Sie Deutsch lesen oder sprechen.	14. German
<input type="checkbox"/>	Σημειώστε αυτό το πλαίσιο αν διαβάσετε ή μιλάτε Ελληνικά.	15. Greek
<input type="checkbox"/>	Make kazye sa a si ou li oswa ou pale kreyòl ayisyen.	16. Haitian Creole
<input type="checkbox"/>	अगर आप हिन्दी बोलते या पढ़ सकते हैं तो इस बक्स पर चिह्न लगाएँ।	17. Hindi
<input type="checkbox"/>	Kos lub voj no yog koj paub twm thiab hais lus Hmoob.	18. Hmong
<input type="checkbox"/>	Jelölje meg ezt a köckát, ha megérti vagy beszél a magyar nyelvet.	19. Hungarian
<input type="checkbox"/>	Markaam daytoy nga kahon no makabasa wenno makasaoka iti Ilocano.	20. Ilocano
<input type="checkbox"/>	Marchi questa casella se legge o parla italiano.	21. Italian
<input type="checkbox"/>	日本語を読んだり、話せる場合はここに印を付けてください。	22. Japanese
<input type="checkbox"/>	한국어를 읽거나 말할 수 있으면 이 칸에 표시하십시오.	23. Korean
<input type="checkbox"/>	ໃຫ້ໝາຍໃສ່ຊ່ອງນີ້ ຖ້າທ່ານອ່ານຫຼືປາກພາສາລາວ.	24. Laotian
<input type="checkbox"/>	Prosimy o zaznaczenie tego kwadratu, jeżeli posługuje się Pan/Pani językiem polskim.	25. Polish

<input type="checkbox"/>	Assinale este quadrado se você lê ou fala português.	26. Portuguese
<input type="checkbox"/>	Însemnați această căsuță dacă citiți sau vorbiți românește.	27. Romanian
<input type="checkbox"/>	Пометьте этот квадратик, если вы читаете или говорите по-русски.	28. Russian
<input type="checkbox"/>	Обележите овај квадратик уколико читате или говорите српски језик.	29. Serbian
<input type="checkbox"/>	Označte tento štvorček, ak viete čítať alebo hovoriť po slovensky.	30. Slovak
<input type="checkbox"/>	Marque esta casilla si lee o habla español.	31. Spanish
<input type="checkbox"/>	Markahan itong kuwadrado kung kayo ay marunong magbasa o magsalita ng Tagalog.	32. Tagalog
<input type="checkbox"/>	ให้กาเครื่องหมายในช่องถ้าท่านอ่านหรือพูดภาษาไทย.	33. Thai
<input type="checkbox"/>	Maaka 'i he puha ni kapau 'oku ke lau pe lea fakatonga.	34. Tongan
<input type="checkbox"/>	Відмітьте цю клітинку, якщо ви читаете або говорите українською мовою.	35. Ukranian
<input type="checkbox"/>	اگر آپ اردو پڑھتے یا بولتے ہیں تو اس خانے میں نشان لگائیں۔	36. Urdu
<input type="checkbox"/>	Xin đánh dấu vào ô này nếu quý vị biết đọc và nói được Việt Ngữ.	37. Vietnamese
<input type="checkbox"/>	באצייכנט דעם קעסטל אויב איר לייענט אדער רעדט אידיש.	38. Yiddish